

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Kenneth Kiron et al.	Examiner:	Thu Thao Havan
Serial No.:	09/839888	Art Unit:	3693
Filing Date:	April 20, 2001	Confirmation No.:	5617
Title:	OPEN END MUTUAL FUND SECURITIZATION PROCESS	Attorney Ref.:	21240.01US5

**RESPONSE TO OFFICE ACTION MAILED MARCH 17, 2009**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

This is a Reply to the Office Action mailed March 17, 2009. The original period of response to the Office Action is a shortened statutory period of three (3) months from the mailing date. Accordingly, the original time period for response is June 17, 2009. As evidenced by the Certificate of Electronic Transmission, this Reply is being timely submitted within the period for reply.

Applicants respectfully request reconsideration and allowance of the pending claims in the present Application in view of the Amendments and Remarks below.

**Amendments to the Claims** begin on page 2 of this paper.

**Remarks** begin on page 15 of this paper.

**Certificate of Electronic Transmission Under 37 CFR §1.8:**

I hereby certify that this correspondence is being transmitted to the United States Patent and Trademark Office using the EFS-Web System on May 12, 2009.

By: Marianne A. Hall  
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